

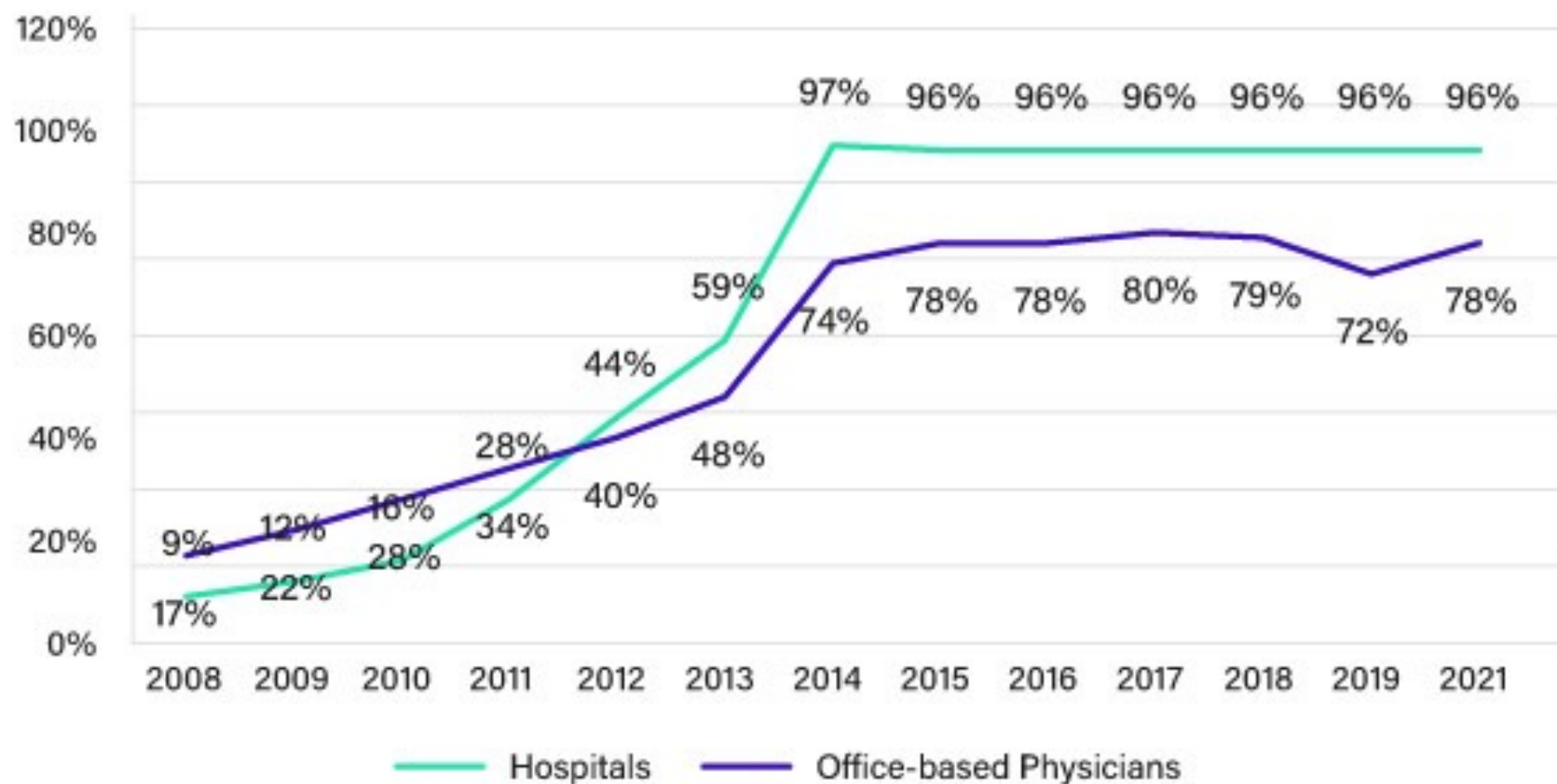


# Data 146: Foundations for CPH

## **Electronic Health Records**

Irene Y. Chen

## Trend in U.S. Hospitals and Physician EHR Adoption



Source: [www.towardshealthcare.com](http://www.towardshealthcare.com)

# Outline

- **EHR Adoption** (30 mins)
- **MIMIC Deep Dive** (20 mins)



How can we make Data  
146 better for you?

**Learning Objective:** Understand how EHR data came to be and potential issues

Why did hospitals adopt the  
electronic health record?



# HITECH Act of 2009

# HITECH Act

- Health Information Technology for Economic and Clinical Health (HITECH) ACT of 2009
- Of \$840 billion stimulus package in 2009, **\$30 billion** was allotted for hospitals and medical providers for “**meaningful use**” of certified EHR systems
  - Payments linked to improvements in quality, safety, and efficiency



How would you allocate \$30 billion?  
(Partner discussion)

# Health IT Policy Committee

- Created under the HITECH Act as an advisory committee
- Recommend policies and defined “**meaningful use**” of EHRs
- Included federal appointees, representatives from healthcare, technology, academia, and patient advocates
- Included **Judy Faulkner, CEO of Epic**



**F** PROFILE

# Judy Faulkner

Founder & CEO, Epic Systems

**\$7.8B** **Real Time Net Worth**  
as of 10/28/25  
#469 in the world today



**\$7.8B**  
**2025 America's Richest Self-Made Women Net Worth**  
as of 6/3/25

PHOTO BY COURTESY JUDY FAULKNER



Epix

Patent Lists

Appts

Unit Manager

Snapboard

Pregnancy Wheel

Telephone Call

Patient Station

Today's Cases

Template Tools

UpToDate

WakeMedWeb

Incident Report

Print

Log Out

Smith, Mary

Smith, Mary

26-year old, Female, 11/11/1990

MRN: 4300129

CSN Location: 139600626, WAKEMED RALEIGH...

Arrival Date, LOS: 01/17/2017, 22

Bed: 480201

Attending: Sandhu, Ritu Raj, MD

Treatment Team: Ritu Raj Sandhu, MD - A...

PCP: None

Private: None

Code: Not on file

Level of Care: None

Patient Class: Outpatient in a Bed

Allergies

No Known Allergies

Current Weight: None

Dosing Weight: None

BMI: None

My Chart: Ina...

Password: N...

OB Provider...

GA: None

G/P: Q1PQ

Blood Type...

PPH Score: None

Del. Date: None

Delivery Method: None

Postpartum Day #: None

Primary Ins.: None

POC

Search

Post Partum Hemorrhage

Post Partum Hemorrhage

Expand All Collapse All Not Scanned

Favorites

Staff

Essential Flowsheets

Events

Code Start

Code End

Documentation

Level of consciousness

Bleeding Assessment Screen

Fundus

Lochia or Bleeding

Interventions

Interventions

Blood Collection without LDA

A&O

Drains

IVs

Airways

Event Log

Patient Summary

Physical Diagram

Orders

QuickBar

Vitals

Heart Rate

Respirations

BP

SpO2

Temp

Temp Source

Load Past Event

Show Deleted Status Changes

Code Start (Editing)

Time: 15:57:46

Date: 2/8/2017

Comments:

Accept

Cancel

Expand All Collapse All

Medications

Post Partum Hemorrhage Meds

Oxytocin in 0.9 % sodium chloride (PITOCIN) 30 units/500 mL

Methylergonovine (METHERGINE) 0.2 mg/mL (1 mL)

misoprostol (CYTOTEC) 200 MCG

carboprost (HEMABATE) 250 mcg/mL

Other Post Partum Hemorrhage Meds

difenhydramine (BENADRYL) 50 mg/mL

ondansetron (ZOFRAN) 4 mg/2 mL

calcium gluconate 10% 100 mg/mL (10%)

fentanyl (PF) (SUBUMAZE) 100 mcg/2 mL (50 mcg/mL)

morphine 4 mg/mL

cefazolin (ANCEF) 1 gram

clindamycin (CLEOCIN) ADD-Vantage 900 mg/100 mL, N5

sodium chloride 0.9% (NS) 0.9 %

Acknowledge Orders (1)

New Orders

Sequential Compression Device

Orders to be Completed (1)

Sequential Compression Device

# What is “meaningful use”?

- Data capture and sharing
- Hospital had to demonstrate basic capabilities about data entry and clinical quality measures
- Record demographics, problem lists, medications, lab results, electronic prescribing

What is missing here?  
(Partner discussion)

# Problems with original recommendations

- **Limited data exchange**: No need to share data or facilitate exchange with patients or other hospitals
- **Vendor lock-in**: Each EHR vendor has its own data format. No cross-vendor interoperability means vendors are incentivized to silo in hospitals and have high switching costs
- **Improved clinical outcomes**: More documentation could slow down clinical workflows. How do you incentivize structures that actually improve clinical care?
- **Patient rights**: Hospitals control all of the data and nothing is written with the patient in mind.

PERSPECTIVE



# Escaping the EHR Trap — The Future of Health IT

**Authors:** Kenneth D. Mandl, M.D., M.P.H., and Isaac S. Kohane, M.D., Ph.D. [Author Info & Affiliations](#)

Published June 14, 2012 | N Engl J Med 2012;366:2240-2242 | DOI: 10.1056/NEJMp1203102

VOL. 366 NO. 24 | Copyright © 2012



“In reality, diverse functionality needn't reside within single EHR systems, and there's a clear path toward better, safer, cheaper, and nimbler tools for managing health care's complex tasks.”

# 21st Century Cures Act

- Merged and replaced previous committees with one that focuses on **interoperability, privacy, and patient empowerment**
- Explicitly prohibited “information blocking”, meaning EHR vendors or health systems that prevent data sharing
- Required development for standardized APIs (like FHIR) to allow patients and third party apps to securely access and exchanged health data

# In 2015, lots of open EHR datasets

- **MIMIC-IV**: 65k patients intensive care unit (ICU) and emergency department (ED) data from Beth Israel Deaconess Medical Center in Boston
- **All of Us** research program: 200k patients including EHR, genomics, wearables, and patient surveys in standardized (OMOP) format from NIH
- **EHRSHOT**: 6k patients and 900k visits from Stanford



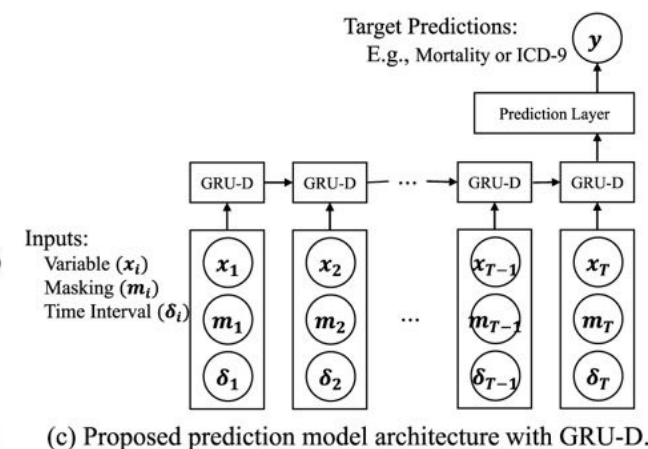
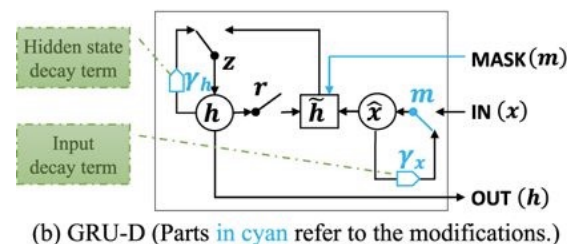
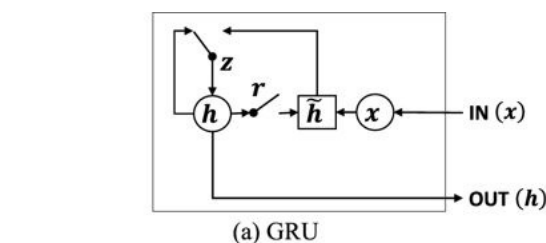
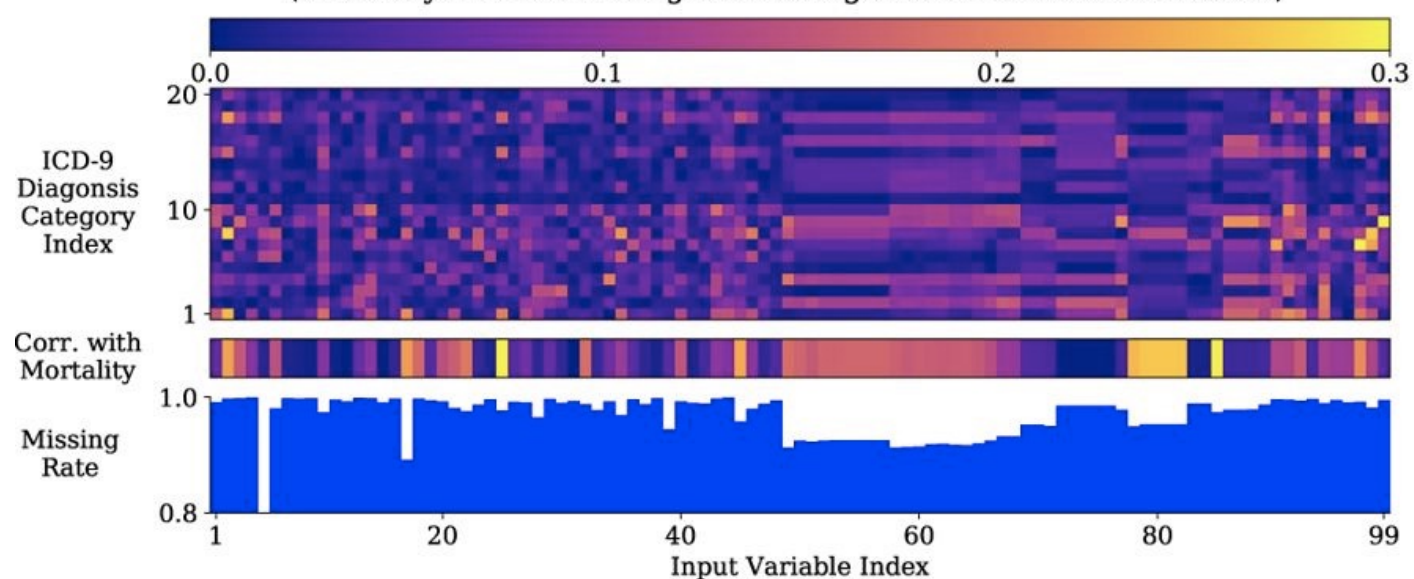
# What is MIMIC?

- Largest open dataset for clinical healthcare (for authorized researchers)
- Dataset of 26 tables (e.g. admissions, patients)
- Maintained by Roger Mark's lab at MIT
- Potential place for **final project ideas!**

# Recurrent Neural Networks for Multivariate Time Series with Missing Values

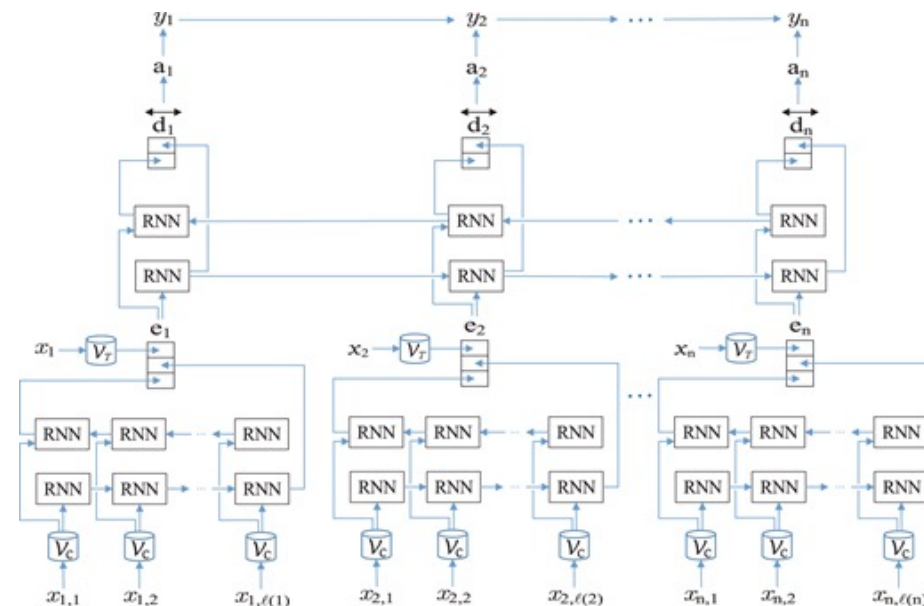
Che et al, 2018  
(Nature Scientific Reports)

Absolute Values of Pearson Correlations between Variable Missing Rates and Labels (Mortality and ICD-9 Diagnosis Categories on MIMIC-III Dataset)



# De-identification of patient notes with recurrent neural network

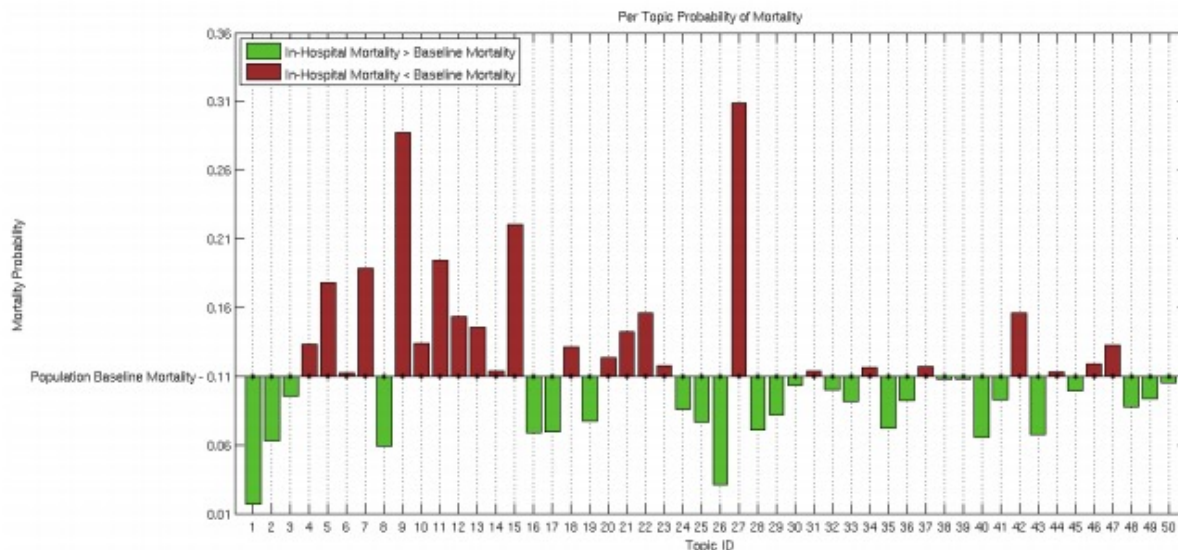
Dernoncourt et al, 2017  
(JAMIA)



	i2b2			MIMIC		
Model	Precision	Recall	F1	Precision	Recall	F1
Nottingham	<b>99.000</b>	96.400	97.680	–	–	–
MIST	91.445	92.745	92.090	95.867	98.346	97.091
CRF	98.560	96.528	97.533	99.060	98.987	99.023
ANN	98.320	97.380	97.848	<b>99.208</b>	99.251	<b>99.229</b>
CRF + ANN	97.920	<b>97.835</b>	<b>97.877</b>	98.820	<b>99.398</b>	99.108

# Unfolding Physiological State: Mortality Modeling in Intensive Care Units

Ghassemi et al, 2014 (KDD)

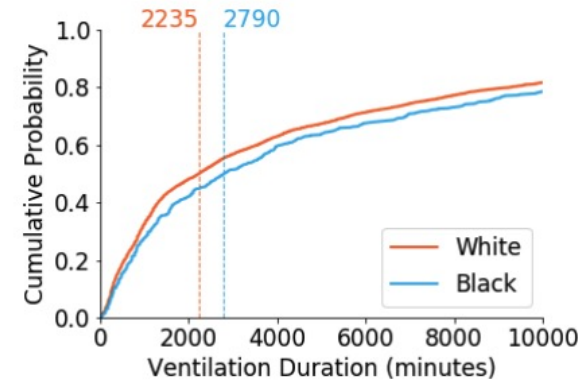


	Topic	Top Ten Words	Possible Topic
In-hospital Mortality	27	name, family, neuro, care, noted, status, plan, stitle, dr, remains	Discussion of end-of-life care
	15	intubated, vent, ett, secretions, propofol, abg, respiratory, resp, care, sedated	Respiratory failure
	7	thick, secretions, vent, trach, resp, tf, tube, coarse, cont, suctioned	Respiratory infection
	5	liver, renal, hepatic, ascites, dialysis, failure, flow, transplant, portal, ultrasound	Renal Failure

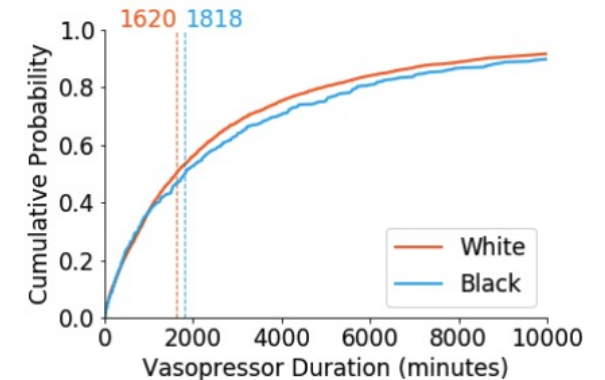
# Racial Disparities and Mistrust in End-of-Life Care

Boag et al, 2018 (MLHC)

# Social: Pt **refused to sign ICU consent** and expressed wishes to be DNR/DNI, seemingly **very frustrated** and **mistrusting of healthcare system** in relation to [REDACTED]. Also, w/ hx of **poor medication compliance and follow-up**



(a) CDF of ventilation duration by race ( $p = .005$ ).



(b) CDF of vasopressor duration by race ( $p = 0.12$ ).



# Reproducibility in critical care: a mortality prediction case study

Johnson et al, 2017 (MLHC)

We reproduced datasets for 38 experiments corresponding to 28 published studies using MIMIC. In half of the experiments, the sample size we acquired was 25% greater or smaller than the sample size reported. The highest discrepancy was 11,767 patients. While accurate reproduction of each study cannot be guaranteed, we believe that these results highlight the need for more consistent reporting of model design and methodology to allow performance improvements to be compared. We discuss the challenges in reproducing the cohorts used in the studies, highlighting the importance of clearly reported methods (e.g. data cleansing, variable selection, cohort selection) and the need for open code and publicly available benchmarks.

Study	Window, $W$ (hours)	Inclusion criteria
Caballero Barajas and Akella (2015)	24	Age>18, Random fixed size subsample
Caballero Barajas and Akella (2015)	48	Age>18, Random fixed size subsample
Caballero Barajas and Akella (2015)	72	Age>18, Random fixed size subsample
Calvert et al. (2016b)	5*	Age>18, In MICU, >1 obs. for all features, LOS $\geq$ 17hr, ICD-9 codes indicating alcohol withdrawal
Calvert et al. (2016a)	5*	Age>18, In MICU, >1 obs. for all features, 500hr $\geq$ LOS $\geq$ 17hr
Celi et al. (2012)	72	ICD-9 code 584.9
Celi et al. (2012)	24	ICD-9 code 430 or 852
Che et al. (2016) (b)	48	PhysioNet 2012 Challenge dataset
Ding et al. (2016)	48	PhysioNet 2012 Challenge dataset
Ghassemi et al. (2014)	12	Age>18, >100 words across all notes
Ghassemi et al. (2014)	24	Age>18, >100 words across all notes
Ghassemi et al. (2015)	24	Age>18, >100 words across all notes, >6 notes

# EHR Safari: Data is Contextual

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“we demonstrate numerous examples where a non-clinician’s intuition may lead to incorrect – and potentially harmful – modeling assumptions”

# Problems with MIMIC

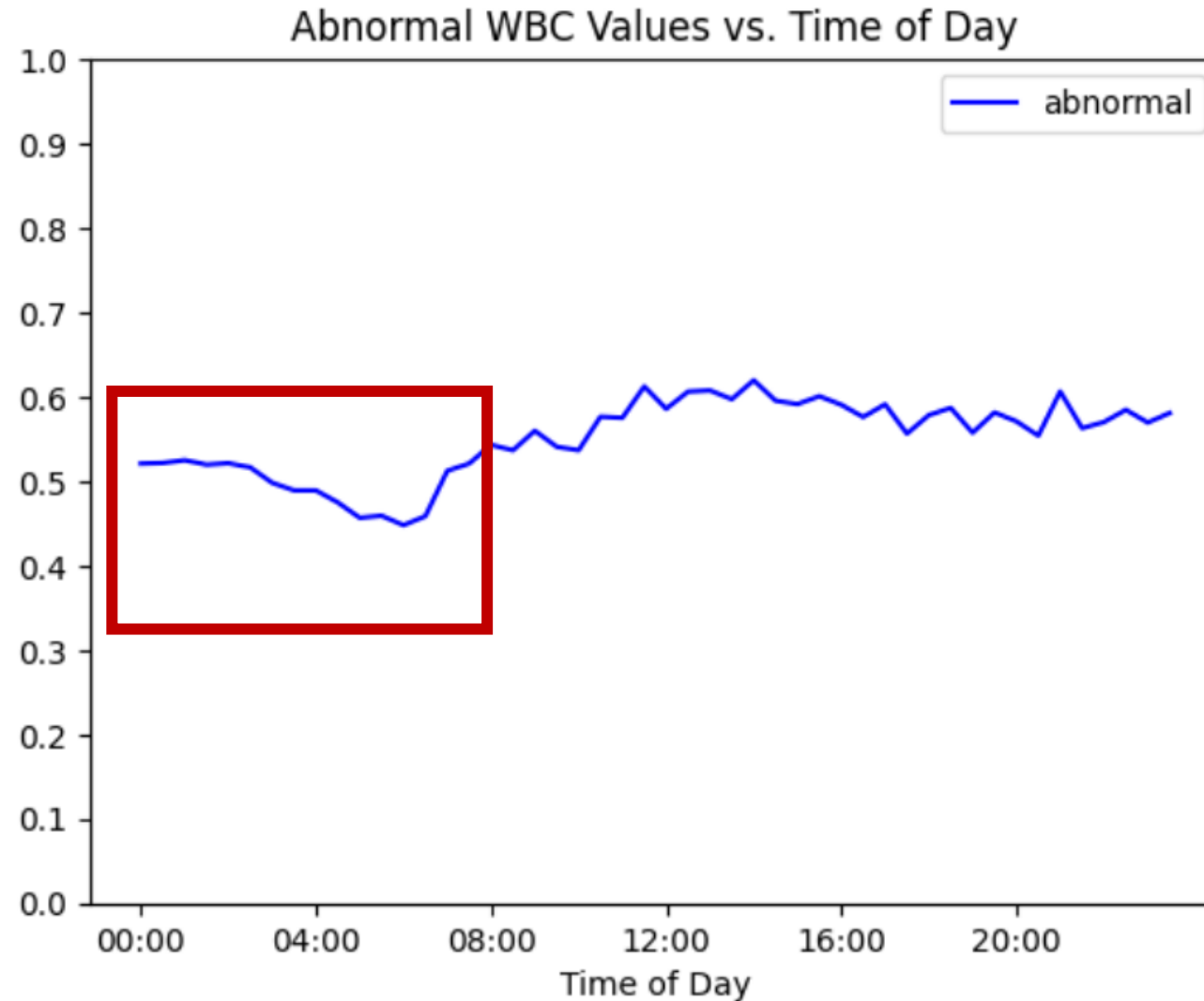
1. Inconsistent timestamps
2. Lab values vary by time of day
3. Multiple copies of provider notes
4. Missing death date collection



# Inconsistent time stamps

- We want time stamps that show:
  - hospital admission time (admittime)  $\leq$
  - patient enters ICU (intime)  $\leq$
  - patient leaves ICU (outtime)  $\leq$
  - patient discharged from hospital (dischtime)
- BUT, of 57k ICU stays, this ordering only holds **79% of the time**
  - Because hospital and ICU staff have their own paperwork, things can get filed out of sync

# Lab values vary by time of day

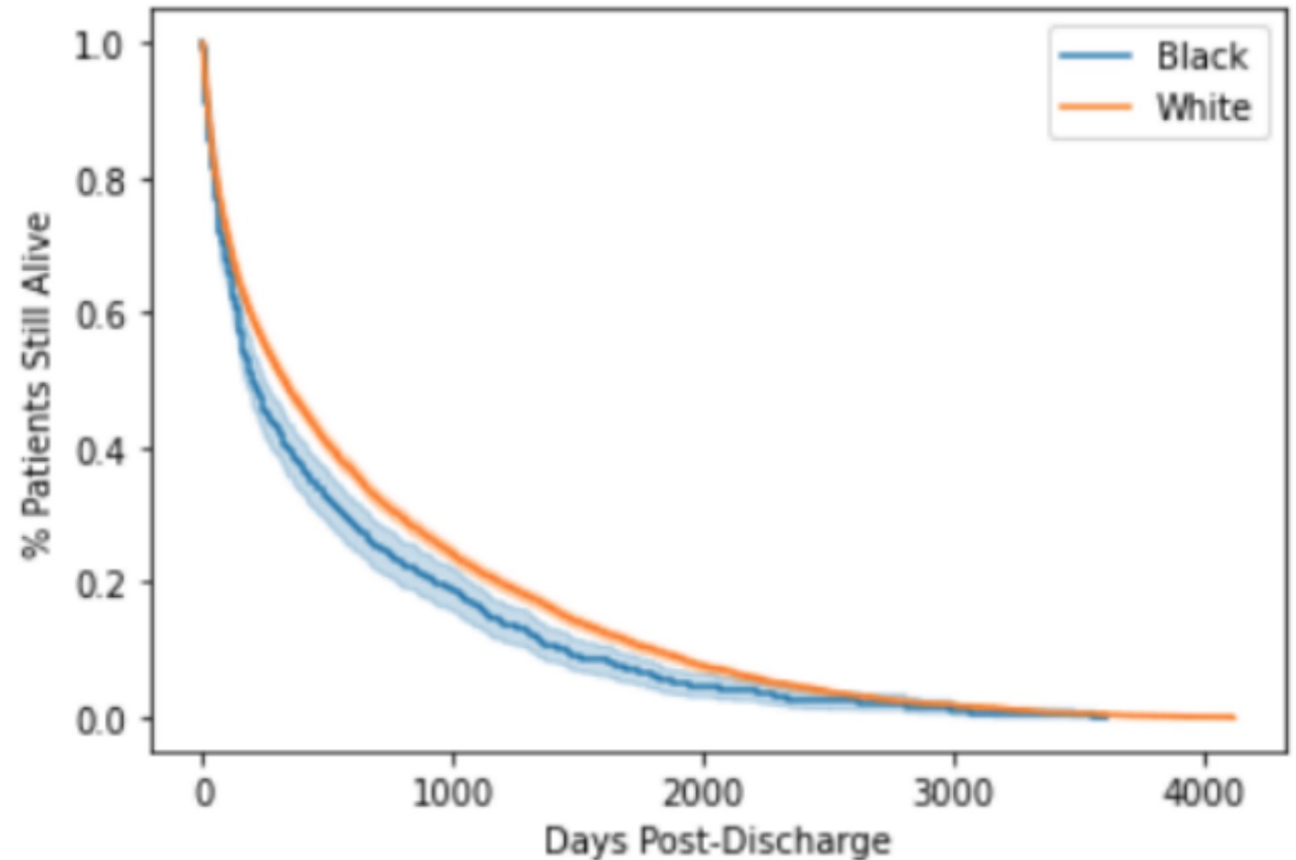


# Multiple copies of provider notes

- NLP techniques use clinical notes to predict clinical outcomes
- MIMIC contains all note drafts that EHR autosaves
  - Disconnect between the chart time (completed) and the store time (partially written)

# Missing death date collection

- Higher rate of mortality for white patients than black patients??
- Because 2013 legislative change forbid SSN collection from state records, this led to 40% change in capture of death information



# More reading

- Caldwell, “[We’ve Spent Billions to Fix Our Medical Records and They’re Still a Mess](#)”, November 2015
- Boag et al, “[EHR Safari: Data is Contextual](#)”, MLHC 2022.

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### We’ve Spent Billions to Fix Our Medical Records, and They’re Still a Mess. Here’s Why.

*Digitizing America’s medical records was supposed to help patients and save money. Why hasn’t that happened?*

PATRICK CALDWELL NOVEMBER/DECEMBER 2015 ISSUE



### EHR Safari: Data is Contextual

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# Summary

- ✓ **Creation of the modern EHR (30 mins)**
- ✓ **Deep dive into MIMIC (20 mins)**

SCAN ME



How can we make Data  
146 better for you?

Next Class: Other health datasets and where to find them